

**SECTION .0400 - OPERATIONS**

<b>04 NCAC 03I .0401</b>	<b>ANNUAL REGISTRATION FEE</b>
<b>04 NCAC 03I .0402</b>	<b>ANNUAL REPORT</b>
<b>04 NCAC 03I .0403</b>	<b>SEGREGATION OF FEES</b>
<b>04 NCAC 03I .0404</b>	<b>REFUNDS</b>
<b>04 NCAC 03I .0405</b>	<b>RECORD AND BOOKKEEPING REQUIREMENTS</b>
<b>04 NCAC 03I .0406</b>	<b>DISPLAY OF CERTIFICATE OF REGISTRATION</b>

*History Note:* Authority G.S. 53-122(3); 53-234(1); 53-234(2); 53-237(c); 53-238; 53-238(5); 53-238(6); 53-239; 53-239(c); 53-241; 53-242;  
Eff. November 1, 1993;  
Amended Eff. May 1, 1995;  
Repealed Eff. April 1, 2003.